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THE SCHOOLS FORUM

Wednesday, 15th January, 2020 at 5.30 pm in the

Membership:

Schools Members:

Maintained Schools:

Governors: Ms Ellerby (Primary), Ms H Kacouris (Primary), Mrs J Leach (Special),
Mrs L Sless (Primary), Vacancy (Secondary), Vacancy (Primary)

Headteachers: Mr H Ballantine (Primary), Mr D Bruton (Secondary), Mrs H Knightley
(Primary), Ms K Baptiste (Primary), Ms R Datta (Special), Ms M
O'Keefe/Ms T Day (Secondary), Ms S Kay (Pupil Referral Unit)

Academies: Ms A Nicou, Mr Sadgrove, Ms H Thomas (Chair), Mr C Lamb,
Vacancy

Non-Schools Members:

14-19 Partnership

Early Years Provider

Teachers' Committee

Education Professional

Head of Admissions

Overview and Scrutiny Committee

Mr K Hintz

Ms A Palmer

Mr J Jacobs

Vacant

Ms J Fear

Councillor D Levy

Observers:

Cabinet Member

School Business Manager

Education Funding Agency

Cllr A Georgiou

Ms A Mahesh

Mr Owen

**MEMBERS ARE INVITED TO ARRIVE AT 16:45PM
WHEN SANDWICHES WILL BE PROVIDED
ENABLING A PROMPT START AT 17:30**

1. APOLOGIES FOR ABSENCE AND MEMBERSHIP

Note:

- a) Apologies
- b) Membership: Vacancies:
 - A nomination for a primary governor representative is awaited.
 - A nomination for an academy representative is awaited.

2. DECLARATION OF INTEREST

Members are invited to identify any personal or prejudicial interests relevant to items on the agenda. A definition of personal and prejudicial interests has been attached for members' information.

3. MINUTES AND MATTERS ARISING FROM THE MINUTES (Pages 1 - 6)

- (a) School Forum meetings held on 11 December 2019 (*attached*)
- (b) Matters arising from these minutes.

4. ITEMS FOR DISCUSSION / DECISION (Pages 7 - 34)

- (a) Schools Budget - 2019/20 Monitoring Update (*attached*)
- (b) Schools Budget – 2020/21: Update (*attached*)
- (c) Scheme for Financing (2020/21) – (*attached*)
- (d) High Needs Strategy: Update (*attached*)

5. ITEMS FOR INFORMATION

None

6. WORKPLAN (Pages 35 - 36)

7. ANY OTHER BUSINESS

8. FUTURE MEETINGS

- (a) Date of next meeting is Wednesday 4 March 2020 at 5.30pm at Chace Community;
- (b) Dates of future meetings:
 - 6 May 2020 – venue tbc
 - 15 July 2020 – venue tbc

9. CONFIDENTIALITY

To consider which items should be treated as confidential.

Schools Forum Membership List

| Name | | Sector | Organisation | Member / Sub Since | End of Term |
|----------------------------|---|--------|----------------------------------|--------------------|-------------|
| Ms H Kacouris | G | P | St Andrew's Southgate | Autumn 2017 | Autumn 2021 |
| Mr J Ellis | G | P | George Spicer | Autumn 2019 | Summer 2023 |
| Vacancy | G | P | | | |
| Mrs J Leach | G | Sp | Waverley | Autumn 2015 | Summer 2019 |
| Mr J Donnelly | G | S | St Ignatius | Spring 2019 | Summer 2023 |
| | | | | | |
| Ms C Fay | H | PRU | Orchardside | Required | |
| Mr D Smart | G | P | De Bohun | Autumn 2019 | Summer 2023 |
| Ms N Husband | G | P | Firs Farm | Autumn 2019 | Summer 2023 |
| Ms K Baptiste | H | P | St Monica's | Autumn 2017 | Summer 2021 |
| Mr D Bruton | H | S | Chace Community | Summer 2016 | Spring 2020 |
| Ms R Datta | H | Sp | West Lea | Spring 2019 | Winter 2023 |
| Ms T Day / Ms M O'Keefe | H | S | Bishop Stopford's St Ignatius | Autumn 2017 | Summer 2021 |
| | | | | | |
| Ms H Thomas | H | A | Alma - Attigo | Autumn 2018 | Summer 2022 |
| Mrs A Goldwater | H | A | Fleecefield - Children First | Spring 2019 | Spring 2023 |
| Mr C Lamb | H | A | Enfield Grammar | Autumn 2018 | Summer 2022 |
| Ms A Nicou | H | A | Enfield Learning Trust | Autumn 2019 | Summer 2023 |
| Vacancy | H | A | | | |
| Mrs L Sless | G | A | Galliard - Children First | Autumn 2015 | Spring 2023 |
| | | | | | |
| Ms A Palmer | | EY | Right Start Montessori | Autumn 2017 | Summer 2021 |
| Mr K Hintz | | P16 | CONEL | Autumn 2015 | Summer 2019 |
| Mr J Jacobs | | All | National Education Union | Summer 2017 | Spring 2021 |
| Ms J Fear | | All | Local Authority | By Appointment | |
| Ms C Seery | | All | Local Authority | By Appointment | |
| Cllr S Erbil | | All | Chair of Overview & Scrutiny | By Appointment | |
| | | | | | |
| Cllr Jewels | O | All | Cabinet Member | By Appointment | |
| Ms S Mahesh | O | All | School Business Manager | Nominated | |
| Ms K Goodacre | O | All | EFSA | By Appointment | |

Key

G – Governor
H – Headteacher
O - Observer

P – Primary
S – Secondary
Sp – Special
Ac – Academy
EY – Early Years
P16 – Post 16

MINUTES OF THE SCHOOLS FORUM MEETING
Held on Wednesday 11 December 2019 at Chace Community School

- Governors: Vacancy (Primary), Mr J Ellis (Primary), Ms H Kacouris (Primary), Mrs J Leach (Special), Mr J Donnelly (Secondary)
- Headteachers: Mr D Bruton (Secondary), Ms K Baptiste* (Primary), Ms R Datta (Special), Ms C Fay (Pupil Referral Unit), Ms N Husband (Primary), Ms M O'Keefe* / Ms T Day (Secondary), Mr D Smart (Primary)
- Academies: Ms H Thomas (Chair), Mrs A Goldwater*, Mr C Lamb, Ms A Nicou, Mrs L Sless, Mrs A Cattermole.

Non-Schools Members:

16 - 19 Partnership Mr K Hintz*
 Early Years Provider Ms A Palmer*
 Teachers' Committee Mr J Jacobs
 Education Professional Mr C Seery
 Head of Admissions Ms J Fear
 Overview and Scrutiny Committee Cllr S Erbil

Observers:

Cabinet Member Cllr R Jewel
 School Business Manager Ms S Mahesh
 Director of Education Mr P Nathan
 Head of Finance Challenge Mr N Goddard
 Finance Manager Mrs L McNamara
 Resources Development Manager Mrs S Brown

Clerk: Alice McLellan

** italics denotes absence*

1. APOLOGIES FOR ABSENCE AND MEMBERSHIP

- (i) Apologies for absence had been received from Ms Baptiste, Ms O'Keefe, Mrs Goldwater, Mr Hintz and Ms Palmer.

NOTED: Mrs Leach apologised for not advising the Forum that she was not able to attend previous meetings.

- (ii) **Membership**

NOTED that;

- (a) Mr John Ellis was now a member of the Schools Forum.
- (b) Angela Cattermole was now an academy representative.
- (c) A nomination for a primary governor representative was awaited from the Member Governor Forum.

ACTION: CLERK

2. DECLARATION OF INTEREST

An opportunity was provided for Members to declare an interest whether pecuniary or otherwise regarding any of the items on the agenda. No declarations were made.

3. MINUTES AND MATTERS ARISING FROM THE MINUTES

RECEIVED and agreed the Minutes of the Meeting held on 2 October 2019.

4. ITEMS FOR DISCUSSION/DECISION

REPORTED that;

(a) Schools Budget – 2019/2020 Monitoring Update

RECEIVED a report providing the latest projections of the Dedicated Schools Grant (DSG) for 2019/2020.

REPORTED that there had been no change in DSG allocation for the current year. There had been a net decrease in the Out Borough Provision because there had been more leavers than starters during this period but demand for Post 16 High Needs was still increasing. In Borough, a £200k underspend was projected due to the delay in start-up of new units. Some units were still in progress. Overall the position was broadly the same with a £36,000 increase.

The Forum noted the update on the DSG.

(b) School Funding Arrangements – 2020/21: Responses to Consultation

RECEIVED a report summarising the responses to the consultation on school funding arrangements for 2019/2020.

REPORTED the consultation document was published on 11 November 2019. A briefing session was held with 43 Headteachers, Chair of Governors and School Business Managers attending. In total 32 responses were received of which two were received the day after the deadline.

NOTED;

(i) Mainstream Schools: Enfield Funding Formula (EFF)

It was proposed to move to the NFF Unit Rate for EAL and LPA. All other factors would move to 85% of the NFF Unit Rate. LAC was not included as a factor as it was assumed that funding would be transferred to the High Needs Block for targeted support. There was a 1.84% Minimum Funding Guarantee and no Gains CAP.

There was a majority agreement to go forward with the proposal. Comments supported the proposal but saw the need to move to the NFF for 2021/22. The comment that there was insufficient funding for infant schools was noted, and the Forum advised that the use of a single per pupil rate for primary pupils had created this anomaly.

With regards to the comment on the use of the lump sum, previous modelling indicated that the removal of the lump sum created turbulence for small schools across all sectors. The lump sum acted as an equaliser for schools.

RESOLVED that members agreed the proposals.

(ii) Looked After Children (LAC)

NOTED that the NFF had removed this factor, and the proposal was to transfer the funding to the High Needs block for targeted support for LAC. The Forum was informed that a Working Party had been set up and strategies would soon be put into place. In response to a **QUESTION**, it was confirmed this transfer was separate from the 0.5% transfer from the Schools to High Needs block.

There was a majority approval for the transfer of funding to the High Needs block. In response to comments, the Forum was advised a review of the support being provided through this factor would be carried out in the coming year. The allocation of funding would be agreed by the Working Group.

RESOLVED that members agreed to the proposals.

(iii) Funding for Pupils with High Needs in Mainstream Schools

NOTED that it was proposed to transfer 0.5% of funding from the Schools to the High Needs Block to continue to support schools with an above average incident of pupils with SEND.

There was a majority approval for this proposal. In response to comments, there would be a review over the coming year of the transfer as well as consideration of the hourly rate of used to fund the top up for pupils with EHCPs.

In response to a **QUESTION**, it was confirmed the 0.5% went towards the £6K where the incident of pupils with EHCPs in any school was above 1 in 60.

RESOLVED that members agreed to the proposals.

(iv) Early Years Inclusion Fund

NOTED that the proposal was to continue with the current arrangements for allocating the funding to providers and the use of the Inclusion Fund.

There was a majority approval for the proposal. It was noted that it was difficult for Infant Schools to access extra funding. This comment had been passed on to Early Years for consideration.

RESOLVED that members agreed to the proposals.

(v) Maintained Schools: Treatment of Surplus Balances

NOTED that the proposal aimed to reduce the threshold for retaining surplus balance for primary and special schools from 8% to either a maximum amount or a percentage of the school's total budget. The thresholds for 2020/21 proposed were 6.5% or £100,000 and for 2021/22 would be 5% or £100,000.

In response to a **QUESTION**, it was confirmed that schools would be able to carry forward the amount that was highest. The comment in response to the consultation expressed a concern that larger schools may be disadvantaged by a cap of £100,000. The Forum was advised that the school had misunderstood the proposal and if the proposal was agreed Mrs Brown would clarify with the school the arrangements and confirm that the Authority's aim was not to clawback balances, but ensure schools spent the money delegated for the pupils at the school at that time.

The Forum were of the view that Headteachers should be aware of their financial position, however it would be helpful if the Authority alerted those schools close to the thresholds for recycling after each quarterly return had been received.

School Business Managers would be informed of the changes and the thresholds would be clarified to schools.

ACTION: MRS BROWN

RESOLVED that the proposal be agreed, gaining 8 votes from maintained schools.

(c) Central Schools Services Block (CSSB) & De-delegation of Services for 2020/2021.

(i) CSSB

NOTED that the CSSB was made up of two elements: statutory duties and historical commitments. Statutory duties would continue to be funded based on a national funding formula that used pupil numbers and deprivation as the factors to inform the formula. For 2020/21, there was a reduction in funding Enfield would receive.

The DFE were looking to reduce and remove funding for the historical commitments included in the CSSB. For 2020/21, the funding will be reduced by £103k.

The Forum was being asked to consider and comment on the services being supported for both the statutory and historical commitments. In response to a **QUESTION** it was stated that the aim was to subsume and manage the cuts being made to the appeal and other services. The services funded as historical commitments including the Parenting Support Service would eventually have to cease and it was unclear how the services could continue to be supported, possibly through the use of volunteers instead.

RESOLVED that members agreed to the proposed use of the CSSB for 2020/21.

(ii) De-delegated Services for Maintained Schools for 2019/2020

NOTED that under current arrangements services could continue to be provided as de-delegated. A member commented that it would be useful to review the services being supported and not just have information on services in the same format year. It was stated that the review would be planned to be carried out during the summer before approval was required in the Autumn term.

RESOLVED that maintained schools members agreed to the proposed allocation for delegated services for 2020/21 with a review on future delegation to be carried out during the Autumn term.

ACTION: MRS BROWN

(d) Schools Budget Update 2020/21

RECEIVED a report providing an update on the DSG for 2020/2021.

REPORTED there was an increase in the DSG to be received and the final amount to be received won't be known until the budget settlement had been received just before Christmas. There were still concerns about the High Needs projected overspend of £3.9m and managing this and ongoing commitments with the resources available. The information in the report for 2020/21 used indicated amounts and an update would be provided in January 2020 when the exact allocation was known.

NOTED

- (i) The amount proposed for the growth fund remained at £0.3m because it was unclear the amount that would be required for the Wren academy which was due to open in September 20.

- (ii) Pupil numbers had decrease in Primary and were increasing in Secondary. The total number of pupils in October 2018 was 50,879.
- (iii) A disapplication request had been submitted and approval awaited.
- (iv) It was expected that the pupil premium rates and Sixth Form funding would remain the same. Other Grants were expected to continue and further information on this was awaited.
- (v) Following an actuarial review of pension contributions for support staff, the contributions were due to decrease from April 2020 by approximately 4% and would remain at this level for the next three years.
- (vi) The final formula values for mainstream schools would be presented to the next Schools Forum meeting and would be circulated to schools once agreed.

RESOLVED that members agreed to the continuation of the Growth Fund at £0.3m.

5. WORKPLAN

RESOLVED to update the Workplan with items arising from this meeting.

ACTION: MRS BROWN

6. FUTURE MEETINGS

NOTED the next meetings:

- Wednesday 15 January 2020, 5:30pm, Chace Community
- Wednesday 4 March 2020, 5:30pm, Chace Community

7. ITEMS TO REMAIN CONFIDENTIAL

NOTED there were no items to remain confidential.

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MUNICIPAL YEAR 2019/20 REPORT NO. 23**MEETING TITLE AND DATE:**

Schools Forum 15 January 2020

REPORT OF:

Director of Finance, Resources & Customer Services

Contact officer and telephone number:

Louise McNamara 020 8379 4720

E mail: louise.mcnamara@enfield.gov.uk

Agenda –

Item: 4a

**Subject: Schools Budget -
2019/20 Monitoring Update****1. EXECUTIVE SUMMARY**

This report provides details of the DSG budget monitoring position for 2019/20 including confirmation of the latest DSG allocation from the EFA as at July 2019.

2. RECOMMENDATIONS

To note the contents of the report.

3. ACCUMULATED DSG CARRIED FORWARD

3.1 Table 1 sets out the cumulative DSG deficit position as at 31 March 2019.

The DSG Outturn position for 2018/19, as at 31st March 2019, was reported at the last meeting. At this stage it was highlighted that an adjustment would be made in 2019/20, in respect of 2018/19, to adjust the DSG allocation for the Early Years Block to reflect January 2019 pupil data. It was estimated that this adjustment would be minimal as overall early years pupil numbers had remained consistent between Jan 2018 and Jan 2019. The Early Years adjustment was confirmed by the ESFA in July 2019 as £12,700.

Table 1 – Accumulated DSG Carry Forward 2018/19

| | | £'000s |
|---|--|----------------|
| Balance brought forward 1 April 2018 | | (0.738) |
| 2017/18 DSG Allocation (applied July 2018) | | (0.743) |
| Net Balance b/f 1 April 2018 | | (1.481) |
| Net Underspend 2018/19 | | 2.575 |
| Surplus Balance 31 March 2019 | | 1.094 |
| Outstanding Adjustments 2018/19 | | (0.718) |
| 2018/19 Carry Forward – 31/03/2019 | | 0.376 |
| 2018/19 Early Years Adj – July 2019 | | 0.013 |
| 2018/19 Carry Forward – FINAL | | 0.389 |

4. 2019/20 DSG ALLOCATION

4.1 The original estimate of gross DSG resources for 2019/20 amounted to £334.186m. Of this amount £1.945m will be provided direct by the Education and Skills Funding Agency (ESFA) to fund post 16 places in special schools and places in mainstream academy units and academy special schools. Budget allocations for 2019/20 were agreed within this level of resources.

- 4.2** In July 2019, revised DSG allocations for 2019/20 were published. These allocations reflected academy recoupment for the Schools Block and High Needs Block and an adjustment Early Years Block to reflect pupil numbers recorded on the January 2019 census. There has also been an adjustment to the High Needs Block to reflect the latest import/export adjustment. The revised DSG position for 2019/20 is summarised in Table 2.

Table 2 – DSG Allocation 2019/20

| DSG Summary 2019/20 | ORIG 2019/20 | Academy Recoup | Import/Export Adj 19/20 | Early Years Adj 19/20 | REVISED 2019/20 |
|--------------------------------|-------------------------|---------------------------|--|--------------------------------------|----------------------------|
| | £000 | £000 | £000 | £000 | £000 |
| SCHOOLS BLOCK | 259.009 | (130.954) | | | 128.054 |
| CENTRAL SERVICES | 2.925 | | | | 2.925 |
| EARLY YEARS BLOCK | 25.410 | | | 0.022 | 25.431 |
| HIGH NEEDS BLOCK | 46.843 | | 0.435 | | 47.278 |
| GROSS DSG | 334.186 | (130.954) | 0.435 | 0.022 | 203.689 |
| Direct ESFA Funding | (1.945) | 0.081 | | | (1.864) |
| NET TOTAL DSG | 332.241 | (130.873) | 0.435 | 0.022 | 201.825 |

- 4.3** Further updates to the 2019/20 DSG allocation are before the end of the financial year, but these are not expected to be significant unless there are any further academy conversions.

5. 2019/20 DSG Budget Monitor

Appendix D details the DSG budget monitoring position as at the end of November 2019.

5.1 Schools Block

Projected Underspend – (£538k)

There are projected underspends in the Schools Block. These relate to the Growth Fund, where no additional classes are expected to be required for the 1920 academic year, the Appeals budget which is projecting an underspend and rates where there is reduced demand on the DSG for schools converting to academy status as they will be entitled to 80% charitable relief.

5.2 Early Years Block

Projected Net Nil Variance

Monitoring indicates that there is an overspend in school nurseries but this is offset by a corresponding underspend in PVI's resulting in a net nil variance. The position will be closely monitored for the remainder of the financial year.

5.3 High Needs

Projected Overspend - £5.004m

The High Needs budgets for 2019/20 were set within the funding available

which did not allow for any contingency or provision for expected increase in demand. Key areas of overspend are as follows:

- Outborough Placements - £2.529m
Budgets for out-borough placements were based on commitments as at January 2019. The projected overspend is due to a variety of factors including the full year effect of pupils starting during 2018/19 and new placements. Several residential students have turned 19 so the Education budget has had to pick up the full cost of these placements rather than a 3-way split with health and children's services.

Further analysis of these budgets is being undertaken to ensure that projections are as accurate as possible.
- Post 16 High Needs - £0.577m
Overspend based on current projections of student numbers
- Exceptional Needs – £0.420m
Exceptional needs overspend based on the summer term monitoring exercise. Further significant increases in expenditure are expected over the autumn and spring terms. An update will be provided following the December monitor exercise.
- SEN & Educational Psychology Service Salaries - £0.469m
Staffing has been increased in both these service areas in order to meet the increase in demand for EHCPs and statutory assessments. A further re-structure of the SEN Service is currently being finalised in order to make the service fit for purpose. This is expected to result in an increased overspend
- Speech and Language Service - £0.173k
Overspend due to increased charge from the Health Service. This overspend relates to 2018/19 and an invoice for this additional cost is also expected for 2019/20

Plans for additional in borough provision is being developed and an update will be provided as soon as the details and start dates have been confirmed.

5.4 DSG Outturn Position

Overall, the latest monitoring position for 2019/20 indicates an overspend of £4.466m which will result in a DSG deficit of £4.090m. Due to the issues highlighted above, this is expected to increase further by the end of the financial year. The 2019/20 budget will continue to be monitored closely for the remainder of the financial year and updates will be provided to the Forum at future meetings.

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MUNICIPAL YEAR 2019/20 REPORT NO. 21**MEETING TITLE AND DATE:**

Education Resources Group 7 January 2020
Schools Forum 15 January 2020

REPORT of:

Director of Finance, Resources & Customer Services

Contact officer and telephone number:

Louise McNamara 0208 379 4720

E-mail: Louise.McNamara@enfield.gov.uk

| | |
|--|-----------------|
| Agenda – Part: 1 | Item: 4a |
| Subject: Schools Budget – 2020/21: Update | |

1. EXECUTIVE SUMMARY

This report sets out details of the initial 2020/21 Dedicated Schools Grant (DSG) allocation which was announced on 19th December 2019, together with the October 2019 dataset to be used to calculate formula budget shares for primary and secondary schools.

The report provides updated information on the proposed budget position for 2020/21 for each of the funding blocks. The report also provides information on the proposed schools funding formula which will be submitted to the DfE on 21st January 2020 for approval.

The report seeks the approval of Schools Forum to finalise the unit values for the primary and secondary funding formula

2. RECOMMENDATIONS

The Schools Forum is asked to note and agree the unit values for the primary and secondary funding formula as set out in Appendix B

The Schools Forum is asked to note

- The 2020/21 DSG settlement and draft budget set out in Appendix A
- The formula allocations to schools based on the proposed formula factors set out in Appendix C

3. Dedicated Schools Grant (DSG) Settlement 2020/21

Enfield's initial 2019/20 DSG settlement was announced on 19th December 2019 at £351.26m. Of the High Needs Block allocation, £1.7m will be recouped from the authority and provided direct by the Education Skills and Funding Agency to fund post 16 places in FE provision and places in mainstream academy units. This amount will be adjusted during the year to reflect any new academy conversions.

Table 1 below summarises the initial and actual 2020/21 DSG Allocation.

Table 1: DSG Allocation 2020/21

| Block | Actual 19/20 | Indicative 20/21 | Actual 20/21 | Variance 19/20-20/21 | Variance Indic-Actual |
|------------------|-----------------|---------------------|-----------------|-------------------------|--------------------------|
| | £m | £m | £m | £m | £m |
| Schools | 259.01 | 269.36 | 267.87 | 8.86 | (1.48) |
| Central Services | 2.93 | 2.69 | 2.68 | (0.25) | (0.01) |
| Early Years | 25.43 | 25.79 | 25.80 | 0.37 | 0.01 |
| High Needs | 47.28 | 54.23 | 54.90 | 7.63 | 0.67 |
| TOTAL | 334.64 | 352.07 | 351.26 | 16.61 | (0.81) |

An indicative 2020/21 DSG allocation was provided by the ESFA in September 2019 based on October 2018 data. The actual 2020/21 allocation reflects the October 2019 dataset.

Schools Block funding has decreased by £1.48m which comprises

- Reduction in funding for primary pupils due to a decrease of 654 pupils -£3.02m
- Increase in funding for secondary pupils due to an increase of 278 pupils +£1.69m
- Reduction in growth funding reflecting trends in pupil nos -£0.17m

At the last meeting, the Forum agreed to the continuation of the Growth Fund at £0.3m to support the final stages of the primary expansion programme. The growth element within the Schools Block also supports academy growth within the formula allocations, which, for 2020/21, includes Wren Academy Enfield which is due to open in September 2020 with 180 pupils. Academy growth adjustments included in the 2020/21 formula allocations are as follows

- Wren Academy - £684k (tbc)
- Heron Hall - £542k
- Ark John Keates - £94k
- One Degree - £88k

The CSSB has decreased by £0.01m which reflects an overall decrease in pupil numbers.

The High Needs Block has increased by £0.67m which reflects final formal allocations and import/export adjustments. This allocation is subject to in year adjustments.

The Early Years Block initial allocation for 2020/21 reflects the increased unit rates and January 2019 pupil numbers. This allocation will be updated in July 2020 to reflect January 2020 census data.

4.0 Draft Budget and Formula Factors 2020/21

4.1 Draft Budget

A draft budget has been produced based on the 2020/21 DSG settlement data and is detailed in Appendix A. In line with the new funding arrangements introduced in 2018/19, there is less flexibility regarding the movement of funding between blocks and, other than the agreed LAC transfer and 0.5% transfer of funding from the Schools Block to the High Needs Block, expenditure will be contained within the relevant blocks. At this stage we are still awaiting confirmation from the DfE of our Disapplication Request regarding these transfers.

4.2 Dataset 2020/21

The DfE dataset information, for October 2019, confirms that there has been a decrease in pupil numbers in the primary sector and an increase in pupil numbers in

the secondary sector. The level of eligibility for free school meals has increased between Oct 2018 and Oct 2019 in both sectors. This data is shown in Tables 2 and 3 below.

Table 2: Pupil Number Data

| Sector | Census Nos | ADD Academy Growth | NET Funded Pupil Nos |
|-----------------------|------------|--------------------|----------------------|
| PRIMARY | | | |
| October 2018 | 31,933 | 70 | 32,003 |
| October 2019 | 31,275 | 37 | 31,312 |
| Variance 2018 to 2019 | (658) | (33) | (691) |
| SECONDARY | | | |
| October 2018 | 18,946 | 124 | 19,070 |
| October 2019 | 19,214 | 193 | 19,407 |
| Variance 2019 to 2019 | 268 | 69 | 337 |

Table3: FSM Data

| | FSM Nos | Pupil Nos | % Eligibility |
|---------------------|---------|-----------|---------------|
| October 2018 | 8,334 | 51,073 | 16.3% |
| October 2019 | 9,341 | 50,719 | 18.4% |
| Variance | 1,007 | (354) | 2.1% |

4.3 Proposed Formula Factors and Unit Rates 2020/21

Formula factors for 2020/21 and associated unit values are set out in Appendix B. Following consultation, it was agreed for 2020/21 to allocate funding on a model that moved to NFF values for Low Prior Attainment, EAL and Mobility and move approximately 85% towards NFF unit values for the other factors and indicative funding allocations were calculated on this basis using October 2018 data. Following receipt of the October 2019 dataset, the formula has been recalculated on this basis.

The final proposed unit rates for 2020/21 reflect those used in the consultation document with a minor adjustment to utilise available funding

- NFF rates for LPA, EAL and Mobility
- 85% towards NFF rates for other factors
- Approximately 90% NFF rates for Ever6 FSM factor to utilise available funding after the PFI shortfall calculations have been confirmed and all areas of the formula have been agreed with the ESFA

Indicative formula allocations also include

- MFG at the rate of 1.84%, the highest of the rates allowed
- No funding CAP
- Indicative rates allocations for 2020/21 (at this stage still awaiting details of uplift) including application of discretionary relief for 2019/20 academy converters

School allocations for 2020/21 will vary from the consultation model due to the changes detailed above and also due to changes in pupil numbers and the impact on the other formula factors. The changes in pupil numbers on a school by school basis have been included and changes in rates liability for new academy converters have been included in Appendix C to support the new allocations.

It should be noted that final checks need to be carried out on the formula allocations and some factors, rates and PFI shortfall, need to be confirmed which may result in minor changes to the school's allocations included in Appendix C.

Recommendation: Schools Forum is asked to note and agree:

- the formula factors and unit rates detailed in Appendix B and formula allocations shown in Appendix C which will be submitted to the DfE by their prescribed deadline of 21st January 2020.

4.4 Schools Formula Budget Shares

The application of the formula factors in Appendix B and the October 2019 dataset issued by the ESFA in December produces the school's formula budget shares as set out in Appendix C. It should be noted that these figures exclude any allocations from the Growth Fund, Early Years or High Needs Blocks.

Following confirmation of the unit rates by the Schools Forum on 15th January 2020 and confirmation of our APT submission to the ESFA on 21st January 2020, indicative formula funding allocations for 2020/21 will be circulated to schools. This early notification will enable schools to develop and finalise their budget planning to address their key school development and improvement priorities and known budget pressures for the coming year. As well as the known budget pressures, schools will also now need to consider future and not confirmed pressures such as:

Table 4: Budget Pressures for 2020/21

| Area | 2019/20 | 2020/21 | 2021/22 | 2022/23 |
|--|---------|---------|---------|---------|
| Teacher – Pay Award* | 2.75%* | tbc | tbc | tbc |
| Teachers – Pension contributions | 23.68% | tbc | tbc | tbc |
| Support Staff – Pay Award | 2% | tbc | tbc | tbc |
| Support Staff – Pension Contribution** | 24.8% | 20.2% | 20.2% | 20.2% |

* Pay Award wef Sept19, 0.75% offset by grant funding

** Confirmed reduction following actuarial review

4.5 MFG Disapplication

We are awaiting confirmation of our application to allow a 0.5% funding transfer and £140k LAC funding transfer from the Schools Block to the High Needs Block which was agreed at the last meeting. We are expecting these requests to be authorised.

5. High Needs Block**5.1 DSG Allocation**

The DSG allocation for 2020/21 for the High Needs Block has been calculated on a formulaic basis.

5.2 High Needs Budget Allocations

A separate report details plans for the High Needs Block. A summary of funding allocations for 2020/21 is being finalised and will be brought to the next meeting.

There continues to be significant risk in some areas of the high needs budget including

- Out of borough day/residential placements and placements in other LA special schools
- High needs support for post 16 pupils in FE provision
- New in borough developments
- Increase in exceptional needs provision in mainstream schools

6. Early Years Block**6.1 DSG Allocation**

A summary of the Early Year Block budget for 2020/21 is provided in Appendix A.

As reported at the last meeting, there are no planned changes regarding Early Years funding and arrangements for 2020/21 but the majority of the increased hourly rates will be passed to providers. 95% of funding received for 3&4 year olds and 100% of funding received for 2 year olds will be delegated to providers.

It should be noted that the initial funding allocation for 2020/21 is based on January 2019 census data. A revised DSG allocation for the Early Years Block will be received in July 2020 which will reflect the January 2020 census data. An update on the estimated change in allocation will be brought to Forum once the January 2020 data has been finalised and analysed.

7. Risks and Uncertainties

The latest budget projections for 2020/21 are based on the information available at this time which includes data from the October dataset and our DSG allocation for 2020/21. Factors that may impact of the final 2020/21 position include

- Final 2019/20 outturn position
- Any changes resulting from final checks of the school formula allocations by LA officers of DfE
- Actual Rates allocations for 2020/21
- Final PFI Shortfall Allocations

Updates on these issues will be included in future reports to the Forum as soon as information becomes available.

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MUNICIPAL YEAR 2019/2020 REPORT NO.**MEETING TITLE AND DATE:**

Schools Forum – 15 January 2019

REPORT OF:

Director of Education

Contact officer name and email: Sangeeta Brown

Sangeeta.brown@enfield.gov.uk

Item:

**Subject: Scheme for Financing
Maintained Schools – 2020/21: Update****1. EXECUTIVE SUMMARY**

This report details the changes required to the local Scheme for Financing for maintained schools.

2. RECOMMENDATIONS

The maintained schools' members of the Forum are asked to note and approve the proposed changes to the Scheme for Financing.

3. INTRODUCTION

- 3.1 The Local Authority is required to agree and publish annually a Scheme for Financing for Maintained Schools. The Scheme aims to cover the financial relationship between maintained schools and the Local Authority.
- 3.2 Officers have reviewed the current Scheme and this report outlines the changes required to the Scheme to reflect local, national and statutory regulations.

4. PROPOSED AMENDMENTS AND UPDATES**4.1 Local****Threshold for Retaining Balances**

As requested by the Schools Forum, the proposal brings the current threshold for retaining surplus balances of 8% for primary and special schools in line with the threshold applied to secondary schools of 5%. Maintained schools were consulted on the proposed change and the outcome from the consultation was presented and agreed with the maintained schools' members of the Forum at the last meeting. This report advises maintained schools Forum members of the actual Scheme changes. It is proposed that Section 4.2 of the current Scheme for 2020/21 is amended as follows:

Governing bodies are required to report on the intended use of balances above the thresholds detailed in the table below of that financial year's budget share.

| Sector | 2020/21 | | 2021/22 | |
|------------------|-------------|------|-------------|----|
| | Amount £ | % | Amount £ | % |
| Primary | £100k | 6.5% | £100k | 5% |
| Special | £100k | 6.5% | £100k | 5% |
| Secondary | 5% | | 5% | |

Both the amount and the percentage will need to be met when calculating and identifying schools with balances above the threshold.

The criteria for retaining balances above these limits is to:

- a. support prior year's financial commitments that have not been charged to the accounts by the preceding 31 March,
- b. fund specific purposes as assigned by the Governing Body and permitted by the Authority, as detailed below, which the Authority is satisfied are properly assigned. To count as properly assigned, amounts must not be retained beyond the period stipulated for the purpose in question without the consent of the Authority.

NB: This last provision is intended to ensure that schools can build up reserves towards particular projects but cannot defer implementation indefinitely.

Balances Assigned for Specific Purposes

Schools may declare balances to be assigned for specific purposes only within the permitted categories given below. Such declarations must be recorded in minutes of the Governing Body meetings and information on such declarations given to the Authority in a format determined by the Authority. The Authority may take such steps as appropriate to determine that such declarations are properly assigned.

Permitted Categories for a maximum of three years* a reserve to finance planned:

- Capital works for the purposes of the school, as set out in the school development plan.
- Replacement / refurbishment of equipment/purchase of new equipment, as set out in the school development plan.
- Building repairs and maintenance, as set out in the annual maintenance plan.
- Or maintain staffing levels in the short/medium term in the face of changing rolls, as set out in the school development plan.

* within each permitted category, the commencement of the time period indicated will be deemed to be the date of the appropriate declaration in the minutes of the Governing Body.

- c. In calculating the surplus balances, the Authority will assess the amount and percentage of surplus balances held and if both thresholds are met as at 31 March for any school, then the school is required to seek the written permission of the Authority on the retention and use of the surplus balances against the criteria for retaining balances, as detailed above. The request must be submitted to the Authority in the first half of the Spring term preceding the end of the financial year.

If written permission is not sought or is not within the required timescale, then any balances above the thresholds, as detailed above, will be considered for recycling.

Following a discussion with Education Resources Group, the Authority will confirm if the schools may retain any balances above the stated thresholds.

Control of surplus balances

- (a) The Authority shall calculate each year the surplus balance, if any, held by each school as at the preceding 31 March. For this purpose, the balance will be recurrent balance category as defined in the Consistent Financial Reporting Framework;
- (b) Then the prior year's commitments as reported by the school shall be deducted from the calculated surplus balance. This relates solely to financial commitments that the school has entered prior to the end of the financial year, e.g. placed an order, but the goods or services were not received by 31 March and no invoice has been paid, nor an accrual raised;
- (c) Then the amount assigned for specific purposes as reported by the school and permitted by the Authority (as detailed above) shall be deducted from the calculated surplus balances;

(d) *If the result of steps (a) to (c) above is that the school has surplus balances of more than 5% of the current year's budget share, in the case of secondary schools, or £100k and 6.5% of the current year's budget share, in the case of primary and special schools, then the amount above these thresholds will be deducted from the current year's budget share.*

If the school does not send in their information on surplus balances as required under this Scheme and does not provide the LA with a reason for not providing the information then, in the case of a secondary school, any balance above 5% and, in the case of primary or special school, any balance above £100k and 6.5%, shall be deducted from the current year's budget share.

Funds deriving from sources other than the LA will be considered in this calculation if paid into the budget share of the school, whether under provisions of this Scheme or otherwise.

Funds held in relation to a school's powers under section 27 of the Education Act 2002 (community facilities) will not be considered, unless added to the budget share surplus by the school as permitted by the LA.

Individual schools will continue to have the right to appeal against any decision to recycle their balances. The Schools Forum will consider any appeals. Any balances that are recycled will be added to the Schools Budget in the following financial year.

4.2 **National**

Prior to the General Election, the DfE consulted on prescribed changes to local Schemes for Financing to bring requirements for maintained schools in line with those applied for academies. The changes included reporting requirements for either or both local authorities and maintained schools on:

- Non-compliance for meeting deadlines for financial returns;
- Number of fraud investigations, schools in deficit, and notices of financial concerns as part of the DSG annual assurance statement;
- Detailing Related Party Transaction as part of the Schools Financial Value Standard return
- Submitting a deficit recovery plan to the Authority when the revenue deficit rises above 5% and establishing a process for working with schools in deficits;
- Publish on the Web the number of staff individuals earning over £100K in £10K banding.

Information is still awaited as to whether the DfE will continue with these proposals. If these new requirements are implemented, then the Scheme will need to be amended accordingly and there will be no opportunity to consult or inform schools prior to the changes coming into effect.

4.3 **Statutory**

Although the procurement thresholds detailed in the Scheme for Financing do not highlight the EU thresholds, schools are required to be aware of these thresholds and, where affected, ensure any procurement for goods and services is in line with the latest EU thresholds.

The EU thresholds for tendering have been revised to reflect the latest currency rates for the Pound against the Euro and the new thresholds are as follows:

| Type of Spend | Amount |
|----------------------------------|------------|
| Goods & Services: | £189,330 |
| Works | £4,733,252 |
| Light Touch Regime for Services: | £663,540 |

Schools will be advised of revisions to the EU thresholds.

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MUNICIPAL YEAR 2019/2020 REPORT NO. 23

MEETING TITLE AND DATE:

Schools Forum – 15 January 2020

REPORT OF:

Director of Education

Contact officer: Sangeeta Brown

E-mail: sangeeta.brown@enfield.gov.uk

| | |
|--|-----------------|
| | Item: 4d |
| Subject: High Needs Strategy – Update | |
| Wards: All | |
| | |

1. EXECUTIVE SUMMARY

This report provides a summary of the provision available and current developments to place and support pupils with high level of Special Education Needs & Disability (SEND) and / or Education Health and Care Plans (EHCPs).

2. RECOMMENDATIONS

To note and comment on the contents of this report.

3. BACKGROUND

3.1 As well as a regular update on the High Needs Block (HNB) during the year, the Schools Forum has received an annual report on the number of places and provision funded from the High Needs Block (HNB) to support pupils with SEND and / or EHCPs. This report aims to provide:

- An overview of the income and projected expenditure for the HNB;
- A summary of the places currently and projected to be available for the current and future years.

3.2 Population (0 – 25) and Financial Update

3.2.1 The HNB is required to support children and young people (CYP) with SEND and EHCPs to enable them to meet their educational outcomes. With the introduction of the SEND Reforms and the change to EHCPs, Enfield continues to see an increase in the number of CYP with SEND EHCPs.

3.2.2 Analysis

(a) National Overview

The data for this analysis is taken from the Office of National Statistics release (ONS) on statements and EHCP for state funded schools.

The latest ONSR indicates a continued increase in number of EHCPs for the period January 2018 to January 2019. The percentage of pupils with an EHCP has risen to 3.1% of the total pupil population after remaining constant at 2.8% from 2007 to 2017. The data collected highlighted the most common primary need for pupils on SEND support was Speech, Language and Communication Communications Needs. Of those with an EHCP, Autistic Spectrum Disorder (ASD) remains the most common primary type of need with 29% of pupils with an EHC plan nationally having this primary type of need: an increase from 28% in January 2018.

Similarly, the ONSR shows that the increase in the number of pupils with SEND Support but no EHCP has followed a similar pattern and as at January 2019 is recorded as 11.9%.

These changes are during a period when the ONS data for the total numbers of pupil aged between 0 – 18 years of age in Enfield is indicating a reduction of -0.2% from 59,750 to 59,621.

Full details of the other findings from the ONSR can be found on the DfE website.

(b) Local Overview

The local overview uses the ONSR and local data and analysis is detailed in the following paragraphs.

Table 1 details information on number of EHCPs reported by the ONSR.

Table 1: Number of Pupils with EHCPs

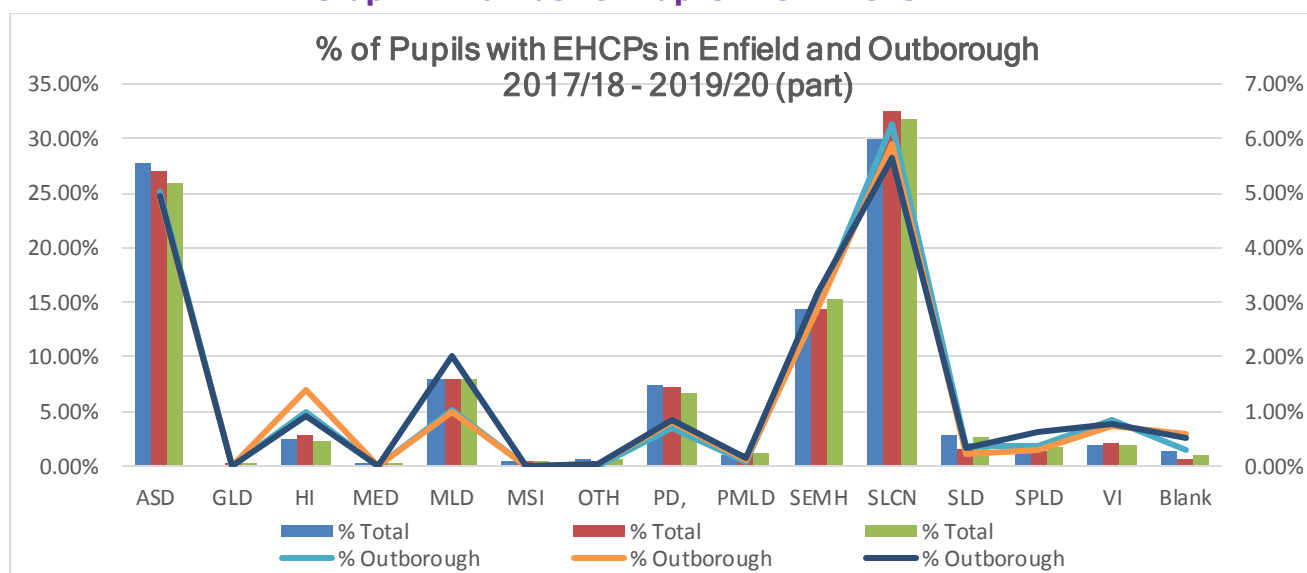
| Years | Total Pupils | | | Pupils with EHCPs / Statements | | | % Change | | |
|---------|--------------|--------|--------|--------------------------------|-------|-------|----------|------|------|
| | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 | 2019 | 2018 | 2017 |
| Enfield | 59,621 | 59,750 | 59,648 | 1,800 | 1,659 | 1,444 | 3.0% | 2.8% | 2.4% |

The ONSR data highlights 3% percentage increase for Enfield from 2018 to 2019, which is just below the national increase. The data when compared with outer London authorities, highlights Enfield has the 4th highest pupil population and is 9th out of 20 for the number of EHCPs. The reasons for this difference will require investigating. It should be noted that the ONSR data does not include the number of pupils placed in independent, out of borough or alternative provision.

The local data used to assess primary needs for all pupils with an EHCP across all provision including pupils placed in independent, out of borough or alternative provision. This shows that the number of EHCPs have increased from 2781 in 2018/19 to 2921 in 2019/20 (4.8%) compared to a population decrease reported by the ONSR of 129 (-0.2%) during the same period.

It was found that the most common type of needs supported in Enfield over the last three years continued to be ASD (27%), SLCN (31%) and SEMH (15%). Of these, ASD appears to remain constant with SLCN, SEMH and MLD steadily increasing as shown in Graph 1 below. These changes might be partly due to incorrect diagnosis because the data used for this analysis is based on the initial assessment rather than final diagnosis and covers an academic year. Work is being done to improve the recording and reporting of the diagnosis and thereby improve data analysis.

Graph 1: Number of Pupils with EHCPs



The position with regards SEN Support is slightly different in Enfield with 9.9% of pupils with SEN Support, which is below the national average of 11.9%. When compared against outer London authorities, Enfield remains as being 9th highest out of 20 authorities.

Table 2 summarises an assessment of the number and cost of Enfield pupils with EHCPs in all provision. It should be noted that this analysis uses financial years.

Table 2: Types of Provision used to support Pupils with EHCPs

| Type of Establishment | Where located | 2016/17 | | 2017/18 | | 2018/19 | | 2019/20 | | Notes on cost |
|-------------------------|---------------|--------------|----------------------|--------------|----------------------|--------------|----------------------|--------------|---------------------------------|---|
| | | Pupils Nos | Actual Expenditure £ | Pupils Nos | Actual Expenditure £ | Pupils Nos | ACTUAL Expenditure £ | Pupils Nos | ESTIMATED 2019-20 Expenditure £ | |
| Special Schools | Enfield | 625 | 13,618,589 | 639 | 14,993,489 | 753 | 16,074,717 | 803 | 17,397,369 | Includes in year place/pupil no changes pro rata |
| ARPs | Enfield | 134 | 1,932,286 | 139 | 2,006,286 | 120 | 1,754,570 | 149 | 2,159,805 | Includes in year opening/closures pro rata |
| Mainstream Schools | Enfield | 571 | 6,331,564 | 710 | 5,215,181 | 892 | 5,809,334 | 947 | 6,460,327 | |
| Pupil Referral Unit | Enfield | 100 | 2,141,433 | 100 | 2,141,433 | 100 | 2,241,433 | 100 | 2,289,433 | |
| Out of School | Enfield | 15 | | - | | | | | | |
| Post 16 FE | Enfield | 170 | 1,599,268 | 201 | 1,459,817 | 245 | 2,570,000 | 233 | 2,477,000 | Includes Outborough spend |
| Special Schools | OB | 88 | 1,082,390 | 119 | 1,761,850 | 139 | 2,500,117 | 116 | 2,614,362 | 2018/19: 40% increase on 2017/18. Plus, there were several expensive special school placements where pupils were receiving 1:1 and 2:1 support. Expenditure includes: - 30 placements cost over £25,000 pa compared to 15 in 2017/18 - OOB ARP pupils |
| Mainstream with Support | OB | 4 | | 4 | | 6 | | 8 | | |
| Mainstream Schools | OB | 136 | 562,220 | 145 | 731,335 | 154 | 752,264 | 179 | 1,190,615 | Increase in pupils, nursery/PVI placements |
| Pupil Referral Unit | OB | 1 | | 1 | | | | | | |
| Education Otherwise | Enfield | 29 | 86,864 | 13 | 6,029,238 | | 6,418,863 | | 7,986,772 | Pupil numbers taken from finance spreadsheet and reflect all paid interim tuition placements. Tuition varies in length and cost Includes: - Independent Special & boarding school pupils not placed via Complex Issues; - Therapeutic sessions, ABA tuition, KIDS Mediation, hospital school tuition, Enfield based Independent and Enfield Independent Special Provision and additional 1:1 support to Enfield schools. |
| Independent | Enfield | 20 | 209,989 | 13 | | 55 | | 46 | | |
| Independent Special | Enfield | 5 | 75,663 | 19 | | 9 | | 7 | | |
| Independent DAY | OB | 97 | 5,770,011 | 126 | | 147 | | 154 | | |
| Alternative Provision | Enfield | 6 | | 8 | | | | | | |
| Post 16 FE | OB | 16 | 384,923 | 20 | 459,538 | | | | | 2018/19 and 2019/20 included in Post 16 Enfield spend above |
| Independent RES | OB | 19 | 2,821,990 | 18 | 2,538,402 | 28 | 2,327,281 | 23 | 2,536,286 | JOINTLY FUNDED complex needs placements only |
| TOTAL | | 2,036 | 36,232,267 | 2,275 | 37,336,569 | 2,648 | 40,448,579 | 2,765 | 45,111,969 | |

The information highlights an increase across all provision with significant rise in spend for special and mainstream schools and independent provision. The increase in Enfield special schools was expected because of the additional places created over the last few years. The year on year increase in mainstream schools both in Enfield and out-borough is a concern.

Furthermore, an unexpected issue was the impact of when pupils with very high complex needs in an independent residential provision turned 18 years old. This was because up until a young person turned 18, the costs are shared between education, health and / or social care. With the introduction of the SEND Reforms and the extension to support a young person in education until 25, the full cost of any young person over the age of 18 in an independent residential provision has transferred to Education, i.e. High Needs block. During 2019/20, several young people in independent residential provision turned 18 and this has resulted in the full year cost increasing by just under a £1m.

As well as residential independent provision, the number of Post 16 pupils in outborough / independent is disproportionately higher when compared to the other year groups. In relation to young adults supported in post school settings, it is anticipated that an increasing number of learners currently in out borough provision, including residential settings will be aged 19 prior to the start of the next academic year starting (September 2020). Negotiations to date suggest that it will be extremely challenging to attract these young people back to Enfield to undertake continued educational programmes. The reasons for this are:

1. Insufficient post school provision linked mainly to independent living skills
2. Increasing challenges to identify suitable supported housing

Therefore in 2020/21, it is expected that the pressure created by the post school element of the High Needs budget is likely to grow significantly.

(c) Forecast

Based on the information available both nationally and locally, the current pupil forecast would indicate that there is likely to be a further increase in the number of pupils with EHCPs during 2020/21. The increase is likely to be between 300 – 400. This is dependent upon whether the demand continues to increase at the same rate, any demographic changes and any changes in the number of pupils remaining in education beyond 18 years old.

4 FINANCIAL UPDATE

- 4.1 As part of the Annual Spending Round 2019, it was confirmed that there would be an additional £700m for high needs to support children and young people with special educational needs in 2020-21. Analysis carried out by London Councils confirmed the additional funding would increase high needs funding nationally to £7b (an increase of 12% from 2019/20) of which £1.4b will be allocated to London boroughs (an increase of 10.4%) resulting in greatest decrease in the share of the England total since 2019-20.

It was also confirmed the continuation of NFF for allocating funding to local authorities for their High Needs block with the following changes:

- The historic element of the NFF will change and local authorities' actual high needs allocations in 2019-20 will be used, rather than the previous baseline of planned spend in 2017-18 and will include the additional £125m pa in 2018-19 to 2019-20 announced in December 2018.
- The funding floor will increase by 8% (per head of 2-18 population) rather than the previous 0.5% per annum floor against the 2017-18 baseline
- The gains cap will increase to 17% (per head of 2-18 population) rather than the previous limit of 3% pa against the 2017-18 baseline

Enfield being a floor authority is due to receive an additional £7m. Table 3 details the change in funding and expenditure since the SEND Reforms were introduced in 2015/16.

Table 3: Summary of Funding and Expenditure

| Blocks | Original Allocation | Actual / Forecast Expenditure | Variance |
|---------|---------------------|-------------------------------|----------|
| 2015/16 | 31,454 | 41,779 | -10,325 |
| 2016/17 | 32,120 | 47.197 | -15,077 |
| 2017/18 | 41,515 | 44.791 | -3,276 |
| 2018/19 | 45,817 | 46.617 | -800 |
| 2019/20 | 46,843 | 50.744 * | 3,897 |
| 2020/21 | 54,900 | | |

* Based on current forecast

The additional funding will be required to manage the increase in demand for supporting pupils with EHCPs and consequently the projected overspend and new developments to increase provision in-borough.

- 4.2 The Forum will recall local authorities were provided with a Strategic Planning grant and tasked with developing a local strategic plan to support efficiencies and reduce costs; thus, enabling the cost of the demand for supporting pupils with high SEND to be in line with available resources and where necessary to reduce actual or projected deficits. The aim has been to review all aspect of the High Needs block, but to date the local review has continued to concentrate on three main areas: Mainstream ARPs and Specialist Units, Mainstream Nurture Group, provision used to support Post 16 students and reducing the use of out borough independent day provision by expanding provision in-borough. The remainder of this report provides an update on some of these areas.

5 SPECIALIST PROVISION UPDATE

5.1 Special Schools

In 2015/16, there were 598 places agreed across all special schools compared with 913 places agreed for 2019/20. An increase of 35%. The total pupil on roll at special schools was 589 compared with 924 on roll across all provision at special schools.

The analysis carried out of type of provision used to place pupils with EHCPs highlighted an increased reliance of outborough provision for pupils with ASD, SEMH and SLCN. To reduce the number of pupils placed in outborough provision work is continuing to expand existing and create new provision in-borough as follows:

- (a) Durant Upper School (ASD - Secondary): The School took over the Minchendon site in the Summer 2019 and the pupils moved to the new site in September 2019 for new academic year. The old vacated site is currently being renovated and refurbished. The building works at the old Lower school will complete during the summer 2020. At which point, there will be additional places for pupils with severe ASD. It is planned that in September 2020, there will be 35 new places and when the School is fully operational, there will be between 210-220 places at the School compared to 105 currently.
- (b) Oaktree: The School increased their place numbers for 2019/20 and, as at October 19, 107 pupils on roll. To support the increase in demand, the School is being expanded to create four additional classes.
- (c) Russet House (ASD - Primary): discussions are continuing with the School to expand their provision. The School is exploring with Durants as to the viability of moving the current year 5 pupils (who will be year 6 in 2020-21) to the refurbished Durants site from September 2020. The School is also considering the viability of expanding onto a primary school. If any of these options are progressed, then the number of places at the School could increase by up to 26.

- (d) West Lea: The School has expanded their provision over the last few years. During 2019, the School opened:
- an early years provision at St Mary's for 16 pupils with SEMH;
 - Early years and KS1 provision at Springfield for 32 pupils with Complex Needs;
 - Opened another two classes Haselbury for another 30 pupils;
 - Expanded provision at Broomfield and the Learning for Life to create an additional 21 places.
- The School now needs additional space as pupils move through the year groups. It is projected to meet the on-going demand, there is a need for an additional 100 places at KS3. The Authority is working with the School to identify a solution.
- (e) New Special Free School (SEMH – Secondary): The development of the new schools is continuing with works planned to complete by September 2021. When fully operational, this new provision will provide an additional 70 places for secondary pupils with SEMH. The original plans were for the School to be fully operational from September 2020. The Authority will need to consider a feasible option for placing pupils in the interim period between September 2020 - 2021.
- (f) Fern House School (SEMH): The works to rebuild the school and expand the existing roll from 48 to 64 is progressing. It is anticipated the new School should be available 2022/23. Again, there is pressure on how to manage the demand until the additional places being created by the rebuild become available.

5.2 **Mainstream ARPs and Specialist Units**

Currently, there are 144 places in mainstream ARPs and specialist units. Details of planned increases for the number of ARPs across the Borough are as follows:

- (a) Eldon (complex): The School opened a Key Stage 1 ARP in September 2019 and has indicated that they will be able to host Key Stage Two ARP for September 2020. Whilst the new ARP will create 8 new places, it is most likely that the current KS1 pupils will progress into KS2 and the KS1 ARP will then be available for new KS1 pupils;
- (b) New Speech & Language ARP: The Authority is in exploring the possibility of a secondary school hosting a speech and language base.
- (c) New SEMH / ASD ARP: The Authority is exploring the possibility of additional ARPs for either pupils diagnosed with SEMH or ASD

5.3 **Nurture Groups**

Following the feedback from the Forum last year, the Authority is reviewing the criteria and will then be considering options for how the Nurture Group model could be further developed to support pupils with social and emotional difficulties. It is the Authority's view that the School's currently funded to host a Nurture Group are given notice and the schools concerned advised that they may need to submit a new request to continue to host a Nurture Group from September 2020.

5.3 **Post 16 Provision**

- 5.3.1 Continuing on from the analysis above Table 3 below sets out the recent volume of post school learners supported, and the type of provision commissioned. Enfield has worked with the local FE colleges to tailor provision to meet the needs of young adults with SEND. We continue to increase the volume of supported internships with now approximately 50 young adults progressing along the pathway to supported employment. The average cost of a post school place has reduced from £10,373 to £9,175 per place. The average length of stay in FE continues to be reduced in line with a range of non FE based opportunities. The challenge facing the borough is to provide educational opportunities for those young people approaching adult age and requiring independent living and life

skills in a local nurturing environment complemented by suitable housing solutions. Enfield continues to work to deliver these opportunities in the near future.

Table 1: Number of Post School Learners Supported

| Provider Name | Type | | Learners | | | | £ Costs | |
|-------------------------------|---------|---------|----------------|----------------|----------------|------------------|------------|------------|
| | | | 2016/17 Actual | 2017/18 Actual | 2018/19 Actual | 2019/20 Forecast | 2018/19 | 2019/20 |
| West Lea (Internships) | Special | Enfield | 0 | 9 | 24 | 26 | £192,000 | £161,622 |
| College of North East London | FE | Enfield | 63 | 77 | 56 | 48 | £191,000 | £200,355 |
| Capel Manor College | FE | Enfield | 8 | 10 | 13 | 14 | £116,871 | £71,218 |
| Barnet & Southgate College | FE [1] | OB | 99 | 101 | 107 | 102 | £1,218,000 | £950,028 |
| Oaklands College | FE | OB | 2 | 2 | 1 | 4 | £16,106 | £58,000 |
| Harrow College | FE | OB | 1 | 1 | 4 | 1 | £64,874 | £19,824 |
| Westminster Kingsway | FE | OB | 1 | 1 | 3 | 1 | £33,199 | £3,918 |
| Waltham Forest College | FE | OB | 1 | 4 | 4 | 4 | £23,060 | £16,143 |
| City of Westminster College | FE | OB | 1 | 0 | 2 | 2 | £19,529 | £18,750 |
| First Rung Ltd | FE | Enfield | 2 | 4 | 5 | 5 | £37,500 | £31,000 |
| St Piers College | FE | OB | 1 | 1 | 0 | 0 | £0 | £0 |
| St Elizabeth's College | FE | OB | 1 | 1 | 1 | 1 | £43,129 | £43,129 |
| Treloars College | FE | OB | 0 | 1 | 1 | 1 | £111,000 | £111,000 |
| Derwen College | FE | OB | 1 | 0 | 0 | 0 | £0 | £0 |
| Interim Arrangements | FE | Enfield | 1 | 1 | 3 | 3 | £20,000 | £20,000 |
| Big Creative Training | FE | OB | 0 | 2 | 6 | 5 | £66,813 | £68,631 |
| Big Creative Academy | FE | OB | 0 | 0 | 0 | 2 | £0 | £36,449 |
| Ambitious College | FE | OB | 0 | 0 | 3 | 3 | £208,102 | £208,102 |
| Haringey 6th Form | FE | OB | 4 | 5 | 3 | 3 | £36,890 | £36,980 |
| Hackney Community College | FE | OB | 0 | 0 | 2 | 2 | £36,100 | £34,000 |
| Immanuel College | FE | OB | 0 | 1 | 1 | 0 | £42,000 | £0 |
| Leighton College - E Rathbone | FE | OB | 0 | 0 | 0 | 1 | £0 | £13,665 |
| Epping Forest College | FE | OB | 0 | 0 | 1 | 1 | £12,236 | £8,750 |
| Access to Music | FE | OB | 0 | 0 | 1 | 1 | £15,823 | £5,980 |
| HRC | FE | OB | 0 | 0 | 4 | 3 | £37,190 | £20,450 |
| TOTAL | | | 186 | 221 | 245 | 233 | £2,541,422 | £2,137,994 |

5.4 Out Borough Independent Provision

Over the past 18 months, the Authority's main objective has been to use in-borough provision for pupils with high level of SEND rather than costly out borough independent provision. Due to the length of time being taken to develop new provision, the stringent requirements of the SEND Reforms and directives from Tribunals, it has been difficult to reduce or maintain the number of pupils placed in out borough independent specialist provision. The number of pupils in out borough independent residential provision after remaining stable is likely to increase if pupils continue to remain in their placements until 25 years of age. At the same time, the number of pupils in independent day provision continues to increase due to insufficient in-borough provision.

The focus in developing new places is to target pupils in certain costly out borough independent provision and the aim is to be able to reduce the number of pupils placed out-borough by half. Until the new in-borough places outlined earlier in this report become available, it is going to be difficult to maintain or reduce number of pupils in independent day provision and fully achieve this aim.

Officers will also review the contracts held with some independent providers currently used to assess if these can be renegotiated.

6. Next Step

The work on the developing new places will continue and the Forum will be provided further reports with updates and information on progress in reducing number of pupils placed in out borough independent provision.

APPENDIX D

| DSG Budget Monitor 2019/20 | June Monitor | July Monitor | August Monitor | Sept Monitor | Oct Monitor | Nov Monitor | Variance Nov-Oct |
|-----------------------------------|-----------------|-----------------|-------------------|-----------------|----------------|----------------|---------------------|
| | | | | | | | |
| Opening Position 2019/20 | £000 | £000 | £000 | £000 | £000 | £000 | £000 |
| 2018/19 Surplus | - 1,094 | - 1,094 | - 1,094 | - 1,094 | - 1,094 | - 1,094 | - |
| Outstanding 18/19 | 718 | 718 | 718 | 718 | 718 | 718 | - |
| Net DSG Deficit 1/4/2019 | - 376 | - 376 | - 376 | - 376 | - 376 | - 376 | - |

| | | | | | | | |
|---|-------|-------|-------|-------|-------|-------|------|
| 2019/20 Variance | £000 | £000 | £000 | £000 | £000 | £000 | £000 |
| SCHOOLS BLOCK | | | | | | | |
| Growth Fund | 0 | -150 | -150 | -300 | -130 | -130 | 0 |
| Appeals | 0 | 0 | 0 | -150 | -150 | -150 | 0 |
| Rates - reduction in rates Academy co | -258 | -258 | -258 | -258 | -258 | -258 | 0 |
| Total Schools Block Variance | -258 | -408 | -408 | -708 | -538 | -538 | 0 |
| EARLY YEARS BLOCK | | | | | | | |
| 2 Year Olds | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 3&4 Year Olds | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 30 Hours | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Centrally Held | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Early Years Block Variance | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| HIGH NEEDS BLOCK | | | | | | | |
| Variation in DSG Funding & Resources | | | | | | | |
| Additional HNB Allocation | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Import/Export Adj | 0 | -435 | -435 | -435 | -435 | -435 | 0 |
| FE Colleges - reduction in HNB | 0 | 548 | 548 | 548 | 548 | 548 | 0 |
| | 0 | 113 | 113 | 113 | 113 | 113 | 0 |
| Outborough Provision | | | | | | | |
| Independent Day Placements | 1007 | 953 | 1069 | 1379 | 1199 | 1274 | 75 |
| Independent Residential Placements | 631 | 707 | 707 | 684 | 595 | 674 | 79 |
| Other LA Special Schools | 72 | 131 | 131 | 171 | 228 | 266 | 38 |
| Other LA Mainstream Support | 207 | 186 | 200 | 274 | 308 | 315 | 7 |
| Peripatetic Service | 0 | 209 | 209 | 209 | 209 | 209 | 0 |
| Speech and Language | 0 | 173 | 173 | 173 | 173 | 173 | 0 |
| Post 16 High Needs | 0 | 0 | 420 | 500 | 577 | 577 | 0 |
| In Borough Provision | | | | | | | |
| Excep Needs - adj to orig 1920 & Sum | 430 | 420 | 420 | 420 | 420 | 420 | 0 |
| Durants - additional TA funding | 144 | 144 | 144 | 144 | 144 | 144 | 0 |
| West Lea - 55 addit places Sept19 | 0 | 0 | 0 | 600 | 600 | 600 | 0 |
| ASA - recovery of 1819 underspend | -110 | -110 | -110 | -110 | -110 | -110 | 0 |
| Behaviour Support | 0 | 34 | 0 | 0 | 0 | 0 | 0 |
| Nurture Groups | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Parenting Support | 0 | 78 | 77 | 77 | 80 | 80 | 0 |
| EPS Salaries | 0 | 0 | 200 | 200 | 184 | 184 | 0 |
| SEN Team Salaries | 0 | 0 | 241 | 241 | 290 | 285 | -5 |
| Home & Hospital | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ARP - delay in start up of new units | 0 | 0 | 0 | -130 | -200 | -200 | 0 |
| Total High Needs Block Variance | 2,381 | 2,926 | 3,881 | 4,832 | 4,698 | 4,891 | 193 |

| | | | | | | | |
|--------------------------------------|--------------|--------------|--------------|--------------|--------------|--------------|------------|
| ESTIMATED VARIANCE 2019/20 | 2,123 | 2,631 | 3,586 | 4,237 | 4,273 | 4,466 | 193 |
| Cumulative Deficit b/f | - 376 | - 376 | - 376 | - 376 | - 376 | - 376 | |
| ESTIMATED DSG OUTTURN 2019/20 | 1,747 | 2,255 | 3,211 | 3,861 | 3,897 | 4,090 | |

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UNIT RATES 2020-21

| Agreed Formula Option | |
|-----------------------|--------|
| Prim % | Sec % |
| 0.8500 | 0.8500 |

| UNIT RATES | NFF Rates 2019/20 | | 1.08204 | | ACA | | ACTUAL RATES 2019/20 FORMULA | | NFF Rates 2020-21 | | 2020-21 Unit Rates % Increase | | 1.08274 | | ACA | | 2020-21 Unit Rates incl ACA % Increase | | Local Rates 2020-22 - NFF rates for EAL, LPA & Mob and further move to NFF rates for other factors | |
|-----------------|-------------------|----------|----------|----------|----------|----------|------------------------------|----------|-------------------|-------|-------------------------------|----------|----------|-------|-------|----------|--|-----------|--|--------------|
| | | | | | | | | | | | | | | | | | | | | |
| | PRIM | SEC | PRIM | SEC | PRIM | SEC | Rate Applied | PRIM | SEC | PRIM | SEC | PRIM | SEC | PRIM | SEC | PRIM | SEC | PRIM | SEC | Rate Applied |
| Prim AWPU | 2,746.99 | - | 2,972.35 | - | 3,161.01 | - | 60.00% | 2,857.00 | - | 4.00% | - | 3,093.39 | - | 4.07% | - | 3,145.98 | - | see above | | |
| KS3 AWPU | - | 3,862.65 | - | 4,179.54 | - | 4,250.01 | 60.00% | - | 4,018.00 | - | 4.02% | - | 4,350.45 | - | 4.09% | - | 4,351.24 | see above | | |
| KS4 AWPU | - | 4,385.81 | - | 4,745.62 | - | 4,816.76 | 60.00% | - | 4,561.00 | - | 3.99% | - | 4,938.38 | - | 4.06% | - | 4,936.14 | see above | | |
| FSM | 440.00 | 440.00 | 476.10 | 476.10 | 891.36 | 1,074.06 | 60.00% | 450.00 | 450.00 | 2.27% | 2.27% | 487.23 | 487.23 | 2.34% | 2.34% | 641.29 | 709.80 | see above | | |
| FSM Ever 6 | 540.00 | 785.00 | 584.30 | 849.40 | 350.58 | 637.05 | 60/75% | 560.00 | 815.00 | 3.70% | 3.82% | 606.33 | 882.43 | 3.77% | 3.89% | 565.26 | 822.65 | 90% tbc | | |
| IDACI A | 575.00 | 810.00 | 622.17 | 876.45 | 419.72 | 566.15 | 60.00% | 600.00 | 840.00 | 4.35% | 3.70% | 649.64 | 909.50 | 4.42% | 3.77% | 569.60 | 788.18 | see above | | |
| IDACI B | 420.00 | 600.00 | 454.46 | 649.22 | 318.72 | 415.72 | 60.00% | 435.00 | 625.00 | 3.57% | 4.17% | 470.99 | 676.71 | 3.64% | 4.23% | 417.61 | 585.02 | see above | | |
| IDACI C | 390.00 | 560.00 | 422.00 | 605.94 | 253.20 | 383.71 | 60.00% | 405.00 | 580.00 | 3.85% | 3.57% | 438.51 | 627.99 | 3.91% | 3.64% | 372.73 | 541.34 | see above | | |
| IDACI D | 360.00 | 515.00 | 389.53 | 557.25 | 233.72 | 334.35 | 60.00% | 375.00 | 535.00 | 4.17% | 3.88% | 406.03 | 579.27 | 4.23% | 3.95% | 345.12 | 492.38 | see above | | |
| IDACI E | 240.00 | 390.00 | 259.69 | 422.00 | 155.81 | 253.20 | 60.00% | 250.00 | 405.00 | 4.17% | 3.85% | 270.69 | 438.51 | 4.23% | 3.91% | 230.08 | 372.73 | see above | | |
| IDACI F | 200.00 | 290.00 | 216.41 | 313.79 | 129.84 | 188.27 | 60.00% | 210.00 | 300.00 | 5.00% | 3.45% | 227.38 | 324.82 | 5.07% | 3.52% | 193.27 | 276.10 | see above | | |
| Low Prior Attai | 1,022.00 | 1,550.00 | 1,105.84 | 1,677.16 | 963.65 | 1,677.16 | 60%/NFF | 1,065.00 | 1,610.00 | 4.21% | 3.87% | 1,153.12 | 1,743.21 | 4.27% | 3.94% | 1,153.12 | 1,743.21 | NFF | | |
| EAL | 515.00 | 1,385.00 | 557.25 | 1,498.63 | 557.25 | 1,498.63 | NFF | 535.00 | 1,440.00 | 3.88% | 3.97% | 579.27 | 1,559.15 | 3.95% | 4.04% | 579.27 | 1,559.15 | NFF | | |
| Mobility | - | - | - | - | 553.36 | 1,107.70 | Enf Rates | 875.00 | 1,250.00 | 0.00% | 0.00% | 947.40 | 1,353.43 | 0.00% | 0.00% | 947.40 | 1,353.43 | NFF | | |
| LAC | - | - | - | - | - | - | NFF | - | - | - | - | - | - | - | - | - | - | - | | |
| Split Site | - | - | 55,000 | 164,086 | 55,000 | 164,086 | Enf Rates | - | - | - | - | 55,000 | 164,086 | - | - | 55,000 | 164,086 | Enf Rates | | |
| Lump Sum | 110,000 | 110,000 | 119,024 | 119,024 | 136,215 | 136,215 | 60.00% | 114,400 | 114,400 | 4.00% | 4.00% | 123,865 | 123,865 | 4.07% | 4.07% | 129,586 | 129,586 | see above | | |

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| Appendix A | |
|--|----------------|
| SCHOOLS BUDGET 2020/21 | £ |
| | |
| INCOME | £m |
| Schools Block - 5-16 year olds | 267.872 |
| Central Services Schools Block | 2.678 |
| Early Years Block | 25.803 |
| High Needs Block | 54.905 |
| TOTAL DSG | 351.258 |
| | |
| TOTAL RESOURCES | 351.258 |
| | |
| EXPENDITURE | |
| SCHOOLS BLOCK | |
| Total Funding | 267.872 |
| 0.5% Trf to High Needs Block | -1.339 |
| LAC trf to High Needs Block | -0.140 |
| Net Funding | 266.393 |
| Schools Delegated Formula Funding | 266.093 |
| Growth Fund | 0.300 |
| TOTAL SCHOOLS BLOCK EXPENDITURE | 266.393 |
| CENTRAL SERVICES SCHOOLS BLOCK (CSSB) | |
| Total Funding | 2.678 |
| Statutory Functions | 1.948 |
| Historic Commitments | 0.730 |
| TOTAL CSSB | 2.678 |
| EARLY YEARS BLOCK | |
| Total Funding | 25.803 |
| 3 & 4 Year Allocations - PVI & Maintained | 16.559 |
| 3 & 4 Year Allocations - PVI & Maintained - 30 Hours | 4.118 |
| 2 Year Old Allocations - PVI & Maintained | 3.783 |
| Early Years Central Functions | 1.088 |
| Early Years Pupil Premium | 0.169 |
| Disability Access Fund | 0.087 |
| TOTAL EARLY YEARS BLOCK | 25.803 |
| HIGH NEEDS BLOCK | |
| Gross High Needs Block (pre recoupment) | 54.905 |
| Total High Needs Funding | 54.905 |
| 0.5% transfer from Schools Block | 1.339 |
| LAC transfer from Schools Block | 0.140 |
| Total Funding | 56.384 |
| Allocations Update to be provided at next meeting | 56.384 |
| TOTAL HIGH NEEDS BLOCK | 56.384 |
| TOTAL BUDGET | 351.258 |

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MUNICIPAL YEAR 2019/2020 – REPORT NO. 24**MEETING TITLE AND DATE:**

Schools Forum – 15 January 2020

REPORT OF:

Director of Education

Contact officer: Sangeeta Brown

Email: sangeeta.brown@enfield.gov.uk**Recommendation**

To note the workplan.

| | |
|---|----------------|
| Agenda – Part: 1 | Item: 6 |
| Subject: Schools Forum: Workplan | |
| Wards: All | |

| <u>Meetings</u> | | <u>Officer</u> |
|------------------------|--|-----------------------|
| May 2019 | High Needs – Update & Discussion | |
| July 2019 | Schools Budget – Outturn (2018/19) School Balances (2018/19) & Budget Review (2019/20) DfE Consultation: SEND – Call for Evidence Annual Audit – Update | LM SB SB LB |
| October 2019 | Schools Budget: 2019/20 – Monitoring School Funding Arrangements (2020/21) DSG Analysis DfE Consultation; Financial Transparency & RPA | LM SB SB SB |
| December 2019 | Schools Budget: 2019/20 – Monitoring Schools Budget: 2020/21: Update School Funding Arrangements (2020/21) Central Services Budgets | LM LM SB CS |
| January 2020 | Schools Budget: 2019/20 – Monitoring Schools Budget: 2020/21: Update Scheme for Financing - Revisions High Needs Strategy - Update | LM LM SB SB |
| March 2020 | Schools Budget: 2020/21: Update High Needs Places | LM SB |
| May 2020 | TBC | |
| July 2020 | Schools Budget – Outturn (2019/20) School Balances (2019/20) & Budget Review (2020/21) Annual Audit – Update | LM SB LB |

Dates of Meetings

| Date | Time | Venue | Comment |
|------------------|----------------|-----------------|----------------|
| 06 March 2019 | 5:30 - 7:30 PM | St Ignatius | |
| 15 May 2019 | 5:30 - 7:30 PM | Orchardside | |
| 10 July 2019 | 5:30 - 7:30 PM | Chace Community | |
| 2 October 2019 | 5:30 - 7:30 PM | Orchardside | |
| 11 December 2019 | 5:30 - 7:30 PM | Chace Community | |
| 15 January 2020 | 5:30 - 7:30 PM | Chace Community | |
| 4 March 2020 | 5:30 - 7:30 PM | TBC | |
| 6 May 2020 | 5:30 - 7:30 PM | TBC | |
| 15 July 2020 | 5:30 - 7:30 PM | TBC | |

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